

ZERO BALANCE FORM

(Please read instructions carefully before filling up the form)

ARN No. / Direct

Sub-Broker's Name & ARN No. / DIRECT

Collection Centre (for office use only)

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors including the service rendered by the distributor.
For Direct Application please write the word "DIRECT" in Distributor & Sub-Broker Box.

TRANSACTION CHARGES (Please tick any one of the below. Refer Instruction no.7)

I am a first time investor in Mutual Funds or I am an existing Investor in Mutual Funds

1. APPLICANT INFORMATION (Refer Instruction 1)

NAME OF FIRST / SOLE APPLICANT

Mr. Ms. M/s.

DATE OF BIRTH (DOB)

D	D	M	M	Y	Y	Y	Y
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 (Mandatory in case of minor)

NAME OF THE GUARDIAN (For minor applicant) / Name of the POA Holder/ Name of the Contact Person (For Non Individual Applicant)

Mr. Ms. M/s.

Guardian named above is: Father Mother Court Appointed* Designation of Contact Person

For Investments "On behalf of Minor": (*Refer Instruction 1 for mandatory documents to be attached)

Proof of DOB & Relationship attached Birth Certificate School Certificate / Marksheet Passport Any other.....

NAME OF SECOND APPLICANT

Mr. Ms.

NAME OF THIRD APPLICANT

Mr. Ms.

2. FIRST/SOLE APPLICANT - MAILING ADDRESS & CONTACT DETAILS (Refer Instruction 2 & 8)

City State Pin Code
STD Code Telephone Off. Resi. Mob.
E-Mail**

OVERSEAS ADDRESS (Mandatory for NRI / FII application)

City
State Pin Code Country

3. PAN AND KYC COMPLIANCE STATUS DETAILS (MANDATORY) (Refer Instruction 3)

	PAN No.	KYC Compliance Status (Mandatory)
First / Sole Applicant		<input type="checkbox"/> KYC Acknowledgement Attached
Second Applicant		<input type="checkbox"/> KYC Acknowledgement Attached
Third Applicant		<input type="checkbox"/> KYC Acknowledgement Attached
Guardian / POA Holder		<input type="checkbox"/> KYC Acknowledgement Attached

4. STATUS (OF FIRST/SOLE APPLICANT) [PLEASE TICK (3)]	MODE OF HOLDING [PLEASE TICK (3)]	OCCUPATION (OF FIRST/SOLE APPLICANT) [PLEASE TICK (3)]
<input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI <input type="checkbox"/> PIO <input type="checkbox"/> Partnership <input type="checkbox"/> Trust <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Company <input type="checkbox"/> FIs <input type="checkbox"/> On behalf of Minor <input type="checkbox"/> BOI <input type="checkbox"/> Body Corporate <input type="checkbox"/> Society / Club <input type="checkbox"/> Others _____ (please specify)	<input type="checkbox"/> Single <input type="checkbox"/> Joint (Default) <input type="checkbox"/> Anyone or Survivor	<input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Business <input type="checkbox"/> Retired <input type="checkbox"/> Agriculture <input type="checkbox"/> Proprietorship <input type="checkbox"/> Others _____ (please specify)

5. GROSS ANNUAL INCOME (IN ₹) [PLEASE (3)]

First Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or)	Net-worth (Mandatory for non-individuals) ₹ _____ as on <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than one year)	D	D	M	M	Y	Y	Y	Y
D	D		M	M	Y	Y	Y	Y		
Second Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth _____									
Third Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth _____									

ACKNOWLEDGEMENT SLIP - Zero Balance Form

TAURUS ASSET MANAGEMENT COMPANY LIMITED
 Regd. Office: 305, Regent Chambers, 208, Jambhal Bajaj Marg, Nariman Point, Mumbai - 400021
 Head Office: Ground Floor, AML Centre-1, 8 Mahal Industrial Estate, Mahakali Caves Road, Andheri(E), Mumbai-400 093
 Tollfree No. 1800 108 1111
 Email: customercare@taurusmutualfund.com • Website: www.taurusmutualfund.com

Received from Mr. / Ms. / M/s. _____ Date: _____
 Collection Centre / AMC Stamp / Signature _____

6. DEMAT ACCOUNT DETAILS (Refer Instruction 4)

I would like units to be allotted in DEMAT mode as per the details below:

Beneficiary Owner Identification Number (BO ID)										Depository Participant (DP) Name									
DP ID No.					Client ID No.														

Enclosures: Any one of the following Client Master List (CML) Transaction cum Holding Statement Delivery Instruction Slip (DIS)

7. BANK ACCOUNT DETAILS (Please note that as per SEBI regulations, it is mandatory for investors to provide their bank account details) (Refer Instruction 5)

Name of the Bank																			
Branch Address																			
City										Pin Code									
Account No.										Account Type Please tick (✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others (please specify)									
MICR Code										This is a 9 digit number next to your cheque number. Please attach a blank extra cheque cancelled or a clear photocopy of a cheque									
IFSC Code										It is the responsibility of the investor to ensure the correctness of the IFSC code of the recipient /destination branch corresponding to the bank details mentioned in Section 9.									

8. NOMINATION DETAILS (Refer Instruction 6)

I/We wish to nominate I/We DO NOT wish to nominate

	Nominee Name & Address	Guardian Name & Address (In case Nominee is Minor)	Nominee Relationship with 1st Holder	Allocation (Total = 100%)	Nominee / Guardian Signature
Nominee 1					
Nominee 2					
Nominee 3					

9. DOCUMENTS ENCLOSED (PLEASE 3)

- | | | | |
|--|---|--|---|
| <input type="checkbox"/> Memorandum & Articles of Association | <input type="checkbox"/> Trust Deed | <input type="checkbox"/> KYC acknowledgement | <input type="checkbox"/> SIP Enrolment Form (For Investment through PDC) |
| <input type="checkbox"/> Resolution / Authorisation to invest | <input type="checkbox"/> PAN Copy | <input type="checkbox"/> LLP Agreement | <input type="checkbox"/> SIP Enrolment Form (For Investment through ECS / Auto Debit) |
| <input type="checkbox"/> Power of Attorney | <input type="checkbox"/> Certificate of Incorporation | <input type="checkbox"/> Partnership Deed | <input type="checkbox"/> SWP/SIP/DSO Enrolment Form |
| <input type="checkbox"/> List of Authorised Signatories with Specimen Signature(s) | <input type="checkbox"/> Bye-Laws | <input type="checkbox"/> Multiple Bank Account Registration Form | <input type="checkbox"/> Third Party Payment Declaration Form |

10. DECLARATION(S) & SIGNATURE(S) (Refer Instruction 9)

To,
The Trustee,
Taurus Mutual Fund

Having read and understood the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) I/We hereby apply Zero Balance and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount prepare to be invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

Applicable for NRI's only - I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we shall funds from abroad through approved banking channels or from funds in my/our Non-Resident External /Non-Resident Ordinary /FCNR account.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We confirm that details provided by me/us are true and correct.

**I may voluntarily subscribe to the on-line access for transacting through the internet facility provided by Taurus Mutual Fund and confirm of having read, understood and agree to abide by the terms and conditions for availing of the internet facility more particularly mentioned on the website www.taurusmutualfund.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

Please Sign here

First / Sole Applicant/ Guardian / POA Holder / Auth. Sign

Please Sign here

Second Applicant / Auth. Sign

Please Sign here

Third Applicant Sign

To contact us Dial - **1800 108 1111** (Toll Free) or
040 - 6722 5100 (Charges, as applicable)

To invest SMS TRS to **72000 22222**
To Start SIP SMS TSIP to **72000 22222**
To Transact Online SMS Online to **72000 22222**



INSTRUCTIONS FOR FILLING ZERO BALANCE FORM

1. Applicant information

The name of the applicant on the form must be the same as that appearing on the PAN card. Date of Birth is mandatory for all Minor applicants.

For Investments "On behalf of Minor", please note that the minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holder's details and nomination details, even if mentioned and signed will not be considered. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC acknowledgement are mandatory, along with supporting documents. Photo copy of the document evidencing the date of birth of minor like (i). Birth certificate of the minor, or (ii). School leaving certificate / Mark sheet or (iii). Passport of the minor, or (iv). Any other suitable proof should be attached with the application form. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.

2. Applicant mailing address and overseas address

Applicant must provide their mailing address. Non resident Indians must provide their overseas address and must also preferably provide their Indian mailing address.

3. KYC compliance status details

To ensure appropriate identification of the investor(s) under its KYC policy and with a view to monitor transactions for the prevention of money laundering, TAMCO / Taurus Mutual Fund ("the Mutual Fund") reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the investor, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

Investors should note that it is mandatory for all applications, for subscription for any amount (including "zero" folios, where applicable), to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application for subscription and attach proof of KYC Compliance viz. KYC Acknowledgement Letter / Printout of KYC Compliance Status downloaded from KRA website using the PAN Number. Applicants intending to apply for units through Power of Attorney (PoA) must ensure that the issuer of PoA and the holder of PoA must mention their KYC compliance status and attach proof of KYC compliance at the time of investment.

The KYC acknowledgment will be issued by CVL/NDML or any other designated KYC Registration Authority (KRA) based on the submission of all the relevant documents. All valid KYC applications will be registered with the KRA. In case of Rejection by the KRA, for any reason, the KYC for the investor will not be complete and the investment for the investor is liable to be rejected. In all such cases, investors are required to promptly submit a fresh KYC application.

For all existing KYC verified investors, who did not submit the following information at the time of KYC - before or after Jan 1, 2012, the additional details are required to be given at the earliest in order to enable further transactions in the folio -

Individual Investors - i) declaration of Gross Income/Net Worth as per the new slab AND ii) In-Person Verification (IPV).

Non-Individual Investors - i) declaration of Gross Income/Net Worth as per the new slab ii) photo, name, PAN, Residential address & DIN/UID of all Promoters/Partners/Karta/Trustees/Whole Time Directors who are involved in day to day activities AND iii) In Person Verification (IPV).

The KYC status will be validated with the records of the KRA before allotting units. Taurus Mutual Fund will not be held responsible and/or liable for rejection of KYC Form, if any, by the KRA. Applications for subscriptions of value of any amount without a valid KYC may be rejected. Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, TAMCO shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, TAMCO reserves the right to freeze the folio of the investor(s) and affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

4. Demat Account Details

The unitholders in the open-ended schemes have an option to hold the units in DEMAT mode. If the details mentioned in the Common Application Form (CAF) are incomplete/erroneous, not matching with the Demat Account Details, then the units will be issued in Physical (Statement of Account - SOA) mode. It is required that all applicants submit Client Master List (CML) or any other document(s) [eg. Transaction cum holding statement or Delivery Instruction Slip (DIS)] specifying the name and Demat a/c no. details as a proof for the Demat Account Details furnished in the CAF. In case of holding in Demat account, the details like bank mandate, contact details etc. will be overwritten as per the data stated in the Demat account and not as per the CAF. If the unitholders so choose, they can also apply to convert dematerialized units into SOA form by submitting an application for Re-materialization of units to the Depository Participant (DP).

5. Bank Account details

In order to protect the interest of investors from fraudulent encashment of cheques, it is mandatory for Unit holders to specify their complete bank details in the application for subscription or redemption of units. Applications without the required supporting documents & complete bank details shall be rejected. The Mutual Fund has also provided a facility to the individual investors to register upto 5 multiple bank accounts; while non individual investors can register upto 10 bank accounts. By registering multiple bank accounts, the investors can use any of the registered bank accounts to receive redemption / dividend proceeds.

6. Nomination Details

The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. If the units are held jointly, all joint holders will sign the nomination form. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the applicant. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent. In the event of the Unitholders not indicating the percentage of allocation/ share for each of the nominees, TAMCO, by invoking default option, shall settle the claim equally amongst all the nominees. Applicants who do not wish to nominate, must at the designated space confirm their intention not to nominate, failing which the form may be rejected by TAMCO. Transfer of units in favour of Nominee(s) shall be valid discharge by TAMCO against the legal heir. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and TAMCO shall not be under any obligation to transfer the units in favour of the Nominee(s).

7. Transaction Charge

TAMCO shall deduct a "Transaction charge" (TC) on all Purchases/ SIP amount, from first time mutual fund investors (New) - ₹150/- and existing mutual fund investors - ₹100/-, received through our empanelled distributor/agent (who has chosen for "Opt-in"), and units will be allotted for the balance amount. There shall be no transaction charge on subscription below ₹10,000/-. In case of SIPs, the Transaction charge shall be applicable only if the total commitment through SIPs amounts to ₹10,000/- and above. In such cases the Transaction charge shall be recovered in 4 installments.

8. E-mail Communication & Online Access

Account Statements / Annual Reports / Other Statutory information (as may be required under SEBI (Mutual Funds)

Regulations, 1996), can be sent to each Unit holder by courier / post / e-mail. Unit holders who have provided their email ID will receive these documents by email. On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted, by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, will be sent to the Unit holders registered email address and/or mobile number.

It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties. For the convenience of investors, the Mutual Fund provides the facility of transacting online through its website -

Transact Online. To use this facility, a Unit Holder needs to have a secured TPIN. Investors can instantly generate TPIN online or via the Toll Free number - 1800 108 1111.

For more details on conditions & process of TPIN issuance, also read the "Transact Online FAQs" on the website.

9. Signatures

Signature(s) should be in English or in any Indian Language. Applications on behalf of minors should be signed by their Guardian.

In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.

If you are investing through your Constituted Attorney, please ensure that the Power of Attorney is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on behalf of the applicant by the Constituted Attorney.