

ARN/RIA Code and Name	Sub-Broker's ARN Code	Employee Unique Identity Number*	Internal Code for Sub-broker/Employee	Time Stamp (for office use only)

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors including the service rendered by the distributor. Investors subscribing under the "DIRECT" plan of the scheme should mention "DIRECT" in the ARN column

**EXECUTION ONLY** (To be signed when EUIN is left blank)

\*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Please Sign here

Please Sign here

Please Sign here

First / Sole Applicant/ Guardian / POA Holder / Auth. Sign

Second Applicant / Auth. Sign

Third Applicant Sign

**TRANSACTION CHARGES** (Please tick any one of the below. Refer Instruction no.7)

☐ I am a first time investor in Mutual Funds or ☐ I am an existing Investor in Mutual Funds

**1. UNIT HOLDER INFORMATION** (Please fill in your Folio No. & Name and then proceed to Section 10) Applicable details and mode of holding will be as per the existing Folio.

New Investor ☐ Y ☐ N Folio No.

**2. PAN AND KYC COMPLIANCE STATUS DETAILS (MANDATORY)** (Refer Instruction 2, 16 & 17)

	PAN/PEKRN No.	KYC Number	Nationality
First / Sole Applicant			
Second Applicant			
Third Applicant			
Guardian POA Holder/Contact Person			

# Please attach Proof. for PAN/PEKRN for KYC (KRA). Refer instruction No 17 for KYC Identification Number issued by CKYCR.

**3. UNIT HOLDER / NEW APPLICANT INFORMATION** (Refer Instruction Page) Fresh / New investors to fill in all the Sections 2 to 15

**NAME OF FIRST / SOLE APPLICANT**

Ms. M/s.

DATE OF BIRTH (DOB) D D M M Y Y Y Y (Mandatory in case of minor) DATE OF INCORPORATION D D M M Y Y Y Y

**NAME OF THE GUARDIAN / POA Holder/ Contact Person**

Ms. M/s.

For Investments "On behalf of Minor": (\*Refer Instruction 3 for mandatory documents to be attached)

Proof of DOB & Relationship attached ☐ Birth Certificate ☐ School Certificate / Marksheet ☐ Passport ☐ Any other.....

**NAME OF SECOND APPLICANT**

Ms.

**NAME OF THIRD APPLICANT**

Ms.

**4. MODE OF HOLDING [PLEASE TICK (✓)]**
☐ Single ☐ Joint (Default) ☐ Anyone or Survivor

**5. FIRST/SOLE APPLICANT - MAILING ADDRESS & CONTACT DETAILS**

State	Pin Code	City	Country	STD Code	Telephone Off.	Resi.	Mob.	E-Mail**

This E-Mail ID/Mobile No. belongs to: ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian in case of minor ☐ PMS ☐ Custodian ☐ POA holder \*\*Refer instruction No 12

**OVERSEAS ADDRESS (Mandatory for NRI / FI application)**

State	Pin Code	City	Country

**6. Other KYC details (Mandatory)** ☐ Individual ☐ Non-Individual

6a. Status of First/Sole Applicant [Please (✓)]

<input type="checkbox"/> Partnership	<input type="checkbox"/> Society/Club	<input type="checkbox"/> Listed Company	<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Individual	<input type="checkbox"/> Minor through guardian	<input type="checkbox"/> HUF
<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non-Repatriable	<input type="checkbox"/> Company	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> FPI
<input type="checkbox"/> FI/Sub account of FI	<input type="checkbox"/> Fund of Funds in India	<input type="checkbox"/> QFI	<input type="checkbox"/> Others	(please specify)		

6b. Occupation Details [Please (✓)] (To be filled only if the applicant is an individual)

First Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others	(please specify)
Second Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others	(please specify)
Third Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others	(please specify)

ACKNOWLEDGEMENT SLIP - Common Application Form

**TAURUS MUTUAL FUND**

APPLICATION. No.

6c. Gross Annual Income (in ₹) [Please (✓)]									
First Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) <input type="checkbox"/> Net-worth (Mandatory for non-individuals) ₹ _____ as on <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than one year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Second Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth _____								
Third Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore (or) Net-worth _____								
6d. First Applicant									
For Individuals (Please (✓)) Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) <input type="checkbox"/> I am PEP <input type="checkbox"/> I am related to PEP <input type="checkbox"/> Not Applicable									
For Non-Individuals providing any of the below mentioned services (Please (✓)) <input type="checkbox"/> Foreign Exchange/Money Changer Services Gaming/Gambling/Lottery/Casino Services Money Lending/Pawning None of the above									
Second Applicant: (To be filled only if the applicant is an individual)	<input type="checkbox"/> I am PEP <input type="checkbox"/> I am related to PEP <input type="checkbox"/> Not Applicable								
Third Applicant: (To be filled only if the applicant is an individual)	<input type="checkbox"/> I am PEP <input type="checkbox"/> I am related to PEP <input type="checkbox"/> Not Applicable								

**7. FATCA & CRS INFORMATION (FOR INDIVIDUAL INCLUDING SOLE PROPRIETOR) (SELF CERTIFICATION) (REFER INSTRUCTION 18)**

The below information is required for all applicant(s)/ guardian

Address Type: ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office (for address mentioned in form/existing address appearing in Folio)Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? ☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency			
Tax Payer Ref. ID No.			
Identification Type [TIN or other, please specify]			
Country of Tax Residency			
Tax Payer Ref. ID No.			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

8. POWER OF ATTORNEY (PoA) HOLDER DETAILS	
Name of PoA Mr./ Ms./ M/s.	
PAN# / PEKRN#	KYC Number
KYC #	[Please tick (✓)] (Mandatory) <input type="checkbox"/> Proof Attached

# Please attach Proof. Refer instruction No 16, 17 &amp; 18

**9. DEMAT ACCOUNT DETAILS**

I would like units to be allotted in DEMAT mode as per the details below:

Beneficiary Owner Identification Number (BO ID)		Depository Participant (DP) Name	
DP ID No.	Client ID No.	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL	

Enclosures for Demat option ☐ Client Master List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)**10. BANK ACCOUNT DETAILS (Please note that as per SEBI regulations, it is mandatory for investors to provide their bank account details) (Refer Instruction 4)**

Name of the Bank			
Branch Address			
	City		Pin Code
Account No.	Account Type Please tick (✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others (please specify)		
MICR Code	This is a 9 digit number next to your cheque number. Please attach a blank extra cheque cancelled or a clear photocopy of a cheque		
IFSC Code	It is the responsibility of the investor to ensure the correctness of the IFSC code of the recipient /destination branch corresponding to the bank details mentioned in Section 10.		

11. INVESTMENT DETAILS - (Refer Instruction 5)		Scheme 1	Scheme 2	Scheme 3
Name of the Scheme	Taurus -	Taurus -	Taurus -	
Plan				
Option				

Cheque No.	Amount	Scheme/Plan/Option

Investment Type (Please (✓))

☐ ONE TIME PURCHASE☐ SIP/Opti SIP PURCHASE (Please fill up SIP auto debit or PDC form and attach with this form)

Collection Centre / AMC Stamp / Signature

12. PAYMENT DETAILS (Refer Instruction No. 6)				
Scheme 1		Scheme 2		Scheme 3
Cheque / DD / RTGS / UMR No. & Date:				
Bank & Branch Name				
Amount in figures ₹ (i)				
DD Charges if any, in figures ₹ (ii)				
Net Amount (i) + (ii)	in figures ₹ in words ₹			
Account Type Please tick (✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others (please specify)    *** Refer Instruction 4 (Mandatory for Credit via NEFT / RTGS) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)				

13. NOMINATION DETAILS - Mandatory if mode of holding is single (Refer Instruction 14)	
<input type="checkbox"/> I/We wish to nominate <input type="checkbox"/> I/We DO NOT wish to nominate	
I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio and understand the issue involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heir would need to be submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.	

Please Sign here	Please Sign here	Please Sign here
First / Sole Applicant/ Guardian / POA Holder / Auth. Sign	Second Applicant / Auth. Sign	Third Applicant Sign

	Nominee Name & Address	Guardian Name & Address (In case Nominee is Minor)	Nominee Relationship with 1st Holder	Allocation (Total = 100%)	Nominee / Guardian Signature
Nominee 1					
Nominee 2					
Nominee 3					

14. DOCUMENTS ENCLOSED (PLEASE ✓)			
<input type="checkbox"/> Memorandum & Articles of Association <input type="checkbox"/> Resolution / Authorisation to invest <input type="checkbox"/> Power of Attorney <input type="checkbox"/> List of Authorised Signatories with Specimen Signature(s)	<input type="checkbox"/> Trust Deed <input type="checkbox"/> PAN Copy <input type="checkbox"/> Certificate of Incorporation <input type="checkbox"/> Bye-Laws	<input type="checkbox"/> KYC acknowledgement <input type="checkbox"/> LLP Agreement <input type="checkbox"/> Partnership Deed <input type="checkbox"/> HUF Deed <input type="checkbox"/> Beneficiary ownership list	<input type="checkbox"/> SIP Enrolment Form ( For Investment through PDC) <input type="checkbox"/> SIP Enrolment Form (For Investment through NACH / Auto Debit) <input type="checkbox"/> SWP/STP/DSO Enrolment Form <input type="checkbox"/> Third Party Payment Declaration Form <input type="checkbox"/> Multiple Bank Account Registration Form

15. Non-Profit Organization (NPO)	
We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, please quote Registration No. of Darpan portal of Niti Aayog	
If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.	

16. DECLARATION(S) & SIGNATURE(S) (Refer Instruction 15)	
To, The Trustee, Taurus Mutual Fund Having read and understood the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. <b>Applicable for NRI's only</b> - I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External /Non-Resident Ordinary /FCNR account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We confirm that details provided by me/us are true and correct. **I agree to receive all communication i.e. Statement of Account (SOA), Portfolio, Annual / Abridged Reports etc. (including regulatory updates) related to my investment via email. I may voluntarily subscribe to the on-line access for transacting through the internet facility provided by Taurus Mutual Fund and confirm of having read, understood and agree to abide by the terms and conditions for availing of the internet facility more particularly mentioned on the website www.taurusmutualfund.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.  I/We confirm <input type="checkbox"/> A resident of US/Canada <input type="checkbox"/> Not a resident of US/Canada  <b>Opt-in</b> (Select this box in order to receive the physical copy of the schemewise Annual / Abridged Report at the end of financial year) <input type="checkbox"/>	

Please Sign here	Please Sign here	Please Sign here
First / Sole Applicant/ Guardian / POA Holder / Auth. Sign	Second Applicant / Auth. Sign	Third Applicant Sign

# INSTRUCTIONS FOR FILLING UP COMMON APPLICATION FORM

## 1. GENERAL INSTRUCTIONS

Please read Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) carefully before filling the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. Please strike out any section that is not applicable. Cancellation / Correction with White ink on any of the mandatory information should be counter signed. Applications completed in all respects, must be submitted at the designated Investor Service Centres (ISCs) of Kfin Technology Ltd. or Taurus Asset Management Co. Ltd. (TAMCO) branches across India. A list of all ISC's and TAMCO branches is provided in the KIM and SID.

Applications incomplete in any respect are liable to be rejected. The AMC / Trustee retains the sole and absolute discretion to reject any application.

The booklet has the following Application Forms:

No. of Forms

- Common Application Forms with Nomination \_\_\_\_\_ 1
- SIP / OptiSIP-Enrolment - cum - Auto Debit / NACH Application Form \_\_\_\_\_ 1

New investors wishing to make an SIP investment will need to complete and submit both the Application Form and the SIP Enrollment Form for Auto Debit/NACH/ Standing Instruction as applicable).

For investors applying through an ARN holder (distributor), it is important to also mention the EUIN code on the application form, as applicable; in addition to the ARN code of the distributor. This EUIN is a unique number, given to the sales person/RM/employee of the distributor, and will assist in resolving complaints.

## 2. EXISTING UNIT HOLDER INFORMATION

Investors already having an account in any of Taurus Mutual Fund Schemes should provide their Folio Number, and proceed to Section 10. The personal details and Bank Account details as they feature in the existing account would apply to this investment as well and would prevail over any conflicting information furnished in this form. In case existing unit holder PAN & KYC is not validated, please proceed to section 4. Mode of holding will be as per existing Folio Number.

## 3. UNIT HOLDER INFORMATION

Name and address must be written in full. In case the Investor is an NRI/FII, an overseas address must be provided mandatorily. On successful validation of investor's PAN for KYC, the address provided in the KYC form will override the address mentioned in this form. A local address if available may also be mentioned in the Application Form. Name of the Parent or Guardian must be mentioned if the investments are being made on behalf of a minor. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a certified true copy of the same duly notarised) or the relevant resolution or authority to make the application (or duly notarised copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified / attested should also be attached to the Application Form. In case of a Trust/Fund a resolution from the Trustee(s) authorising such purchase must be submitted. For Investments "On behalf of Minor", please note that the minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holder's details and nomination details, even if mentioned and signed will not be considered. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC acknowledgement are mandatory, along with supporting documents. Photo copy of the document evidencing the date of birth of minor like (i). Birth certificate of the minor, or (ii). School leaving certificate / Mark sheet or (iii). Passport of the minor, or (iv). Any other suitable proof should be attached with the application form. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.

All communications and payments shall be made in the name of and favouring the first / sole applicant. In case of applications made in joint names without indicating the mode of holding, mode of holding will be deemed as 'Joint' and processed accordingly.

NRI investors (residents of USA & Canada) will not be able to access "Taurus Online" (to view/transact via our website) but can only invest in offline mode when they visit any Kfin ISC/Taurus AMC branch in person in India and submit all the required documents along with NRI - US-Canada Declaration form.

## 4. BANK ACCOUNT DETAILS

In order to protect the interest of investors from fraudulent encashment of cheques, it is mandatory for Unit holders to specify their complete bank details in the application for subscription or redemption of units.

Applications without complete bank details shall be rejected.

### 4a. Change in Bank Details

Unit holders are free to change their bank details registered with the Mutual Fund subject to adherence with the following procedure:

Original of any one of the following or Originals to be produced for verification or True attested copy by the bank -

- A) i) Cancelled cheque of the new bank mandate with the 1st unit holder name and bank account number printed on the cheque or (ii) Copy of bank statement or (iii) Bank passbook with current entries not older than 3 months or (iv) Bank letter duly signed by branch manager / authorised person.
- B) For existing folios where no previous bank account details are available, in addition to the above, copy of a photo id proof is also mandatory submission.

In the event of a request for change in bank account information being invalid/incomplete/not satisfactory in respect of signature mismatch/document insufficiency/not meeting any requirements more specifically as indicated in clauses (A) & (B) above, the request for such change will not be processed. Redemptions / IDCW payments, if any, will be processed and the last registered bank account information will be used for such payments to Unit holders. Unit holders may note that it is desirable to submit their requests for change in bank details atleast 10 days prior to date of redemption / IDCW payment, if any.

### 4b. Indian Financial System Code (IFSC)

IFSC - Indian Financial System Code- This is a 11 digit numeric given by the bank and it appears on the cheque. In cases where it does not appear, investors are advised to obtain the same from their bank branch. This number will, in a secured form, enable to transfer the funds electronically as and when payments are to be made by TAMCO to the investor.

MICR- Magnetic Ink character recognition - This is 9 digit numeric number given by the bank and it appears on the lower white portion of the cheque. This number is an alternate to IFSC code number that will enable to transfer the funds electronically as and when payments are to be made to the investor.

### 4c. Multiple Bank Account Registration

The Mutual Fund has also provided a facility to the individual investors to register upto 5 multiple bank accounts; while non individual investors can register upto 10 bank accounts. By registering multiple bank accounts, the investors can use any of the registered bank accounts to receive redemption / IDCW proceeds.

### 4d. Bank Mandate Registration As Part Of Fresh Purchase Application/ New Folio Creation

With effect from 01/11/2013, Investors / Unitholders would be required to submit one of the following documents for the bank mandate specified in the application form, in case the cheque provided along with fresh subscription / new folio creation does not belong to the bank mandate specified in the application form (for redemption / IDCW payment):

1. Original cancelled cheque having First Holder's Name & Bank Account Number printed on the face of the cheque (or)
2. Original Bank Statement reflecting the First Holder's Name, Bank Account Number and Bank Name as specified in the application (or)
3. Photocopy of the bank statement or bank pass book with current entries not older than 3 months duly attested by the Bank Manager / Authorized Official (or)
4. Bank letter confirming the Name & Bank Account Number of the First Holder along with the MICR & IFSC details (if available) duly signed by the Bank Manager / Authorized Official.

## 5. INVESTMENT DETAILS

Across all TAMCO Schemes, an investor can choose to invest in the "DIRECT" plan or in the "REGULAR" plan. Investors should indicate the Plan for which the subscription is made by indicating the choice in the appropriate box provided for this purpose in the application form. In case of valid applications received without indicating any choice, the investment will be considered in default option as defined in the SID. Applications are liable for rejection if the minimum amount condition or any other, as per SID, is not met.

## 6. MODE OF PAYMENT

To ensure that units are correctly issued in your name, investment Cheques and bank drafts must be drawn in favour of the 'Specific Scheme Name - Permanent Account Number (PAN)' or 'Applicant's Name' or 'Folio Number' (e.g. In case of Taurus Flexi Cap Fund the cheque should be drawn in favour of "Taurus Flexi Cap Fund-ABCDE1234F" or "Taurus Flexi Cap Fund -Manish Sinha" or "Taurus Flexi Cap Fund-612356903" dated, signed and crossed 'A/c Payee only'. Application Number / Folio No. must be mentioned on the reverse of the Cheque/DD. Investment in each scheme should be done via a separate Cheque / Draft.

## 7. TRANSACTION CHARGE

TAMCO shall deduct a "Transaction charge" (TC) on all Purchases/ SIP amount, received from first time mutual fund investors (New) and existing mutual fund investors through our empanelled distributor/agent (who has chosen for "Opt-in"), and units will be allotted for the balance amount. The TC will be as follows:

Description	First time Investor	Existing Investor
Lumpsum purchase for ₹10,000 & above.	TC = ₹ 150	TC = ₹ 100
SIP/MicroSIP/OptiSIP purchase where total commitment (instalment amt. X No. of instal.) is ₹10,000 & above.	TC = ₹ 150	TC = ₹ 100

There shall be no transaction charge on subscription below ₹ 10,000/-.

In case of SIPs, the Transaction charge shall be applicable only if the total commitment through SIPs amounts to ₹ 10,000/- and above. In such cases the Transaction charge shall be recovered in 4 installments.

## 8. DEMAT ACCOUNT

The unitholders in the open-ended schemes have an option to hold the units in DEMAT mode. If the details mentioned in the Common Application Form (CAF) are incomplete/erroneous, not matching with the Demat Account Details, then the units will be issued in Physical (Statement of Account - SOA) mode. It is required that all applicants submit Client Master List (CML) or any other document(s) [eg. Transaction cum holding statement or Delivery Instruction Slip (DIS)] specifying the name and Demat a/c no. details as a proof for the Demat Account Details furnished in the CAF. In case of holding in Demat account, the details like bank mandate, contact details etc. will be overwritten as per the data stated in the Demat account and not as per the CAF. If the unitholders so choose, they can also apply to convert de-materialized units into SOA form by submitting an application for Re-materialization of units to the Depository Participant (DP).

## 9. TRANSFER OF UNITS

If the units are issued in demat mode, they are transferable in accordance with the provisions of SEBI (Depositories & Participants) Regulation as maybe amended from time to time. The Transferor will need to issue delivery instructions for transfer of units in the required form and submit to the relevant DP for effecting the transfer in accordance with such rules/regulations as may be in force governing transfer of securities in demat mode.

## 10. THIRD PARTY PAYMENTS

1. TAMCO / ISC of Kfin Technology Ltd., shall not accept applications for subscriptions of units accompanied with Third Party Payments except in cases as enumerated in para 10.2a below. "Third Party Payment" means payment made through an instrument issued from a bank account other than that of the first named applicant / investor mentioned in the application form. In case of payment instruments issued from a joint bank account, the first named applicant / investor must be one of the joint holders of the bank account from which the payment instrument is issued.

2a. As referred to in para 10.1 above, following are the exceptional cases where third party payments will be accepted subject to submission of requisite documentation/ declarations.

- i) Payment by Parents/Guardians\* on behalf of a minor;
- ii) Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions.
- iii) Custodian on behalf of an FII or a Client.

\*Guardian— means a Natural (Parents) or a court-appointed entity.

2b. For investments through third party payments, Investors must attach the 'Third Party Payment Declaration Form' (available at any of our ISCs or on our website [www.taurusmutualfund.com](http://www.taurusmutualfund.com)) along with the Application Form for subscription of units.



# INSTRUCTIONS FOR FILLING UP COMMON APPLICATION FORM

## 11. Consolidated Account Statement (CAS) :

A Consolidated Account Statement (CAS)<sup>^</sup>, for each calendar month for Unit holder(s) (with valid PAN details in the folio) where transaction(s) has/have taken place during the month, shall be sent by mail/e-mail on or before 15th of the following month.

<sup>^</sup>Consolidated Account Statement (CAS) shall contain details relating to all the purchase (excluding any New Fund Offer (NFO) transactions), redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions, carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor.

## 12. E-MAIL COMMUNICATION & ONLINE ACCESS

Account Statements / Annual Reports / Other Statutory information (as may be required under SEBI (Mutual Funds) Regulations, 1996), can be sent to each Unit holder by courier / post / e-mail. Unit holders who have provided their email ID will receive these documents by email and have to download and print the same. Unitholder may choose to select the 'Opt-in' box (Refer column No. 15 in Common Application Form) to get the physical copy of the schemewise annual / bridged report. On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted, by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, will be sent to the Unit holders registered e-mail address and/or mobile number. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly advise the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

**\*\*The email id & mobile no. provided by the investor should belong to the first holder only. It should not belong to any other third party (including advisors or distributor's). If a breach is found, AMC/RTA reserve the right to delete such information. As a general rule, the email address of one investor is not allowed to be updated against multiple folios, unless a specific written request (with proper reasons) is received in this regard duly signed by all investors, OR if the investors in such folios belong to the same family (self, spouse, dependant parents & dependant children). This should be treated as a mandatory and important requirement to not only ensure speedy & efficient communication between the investor(s) & AMC/RTA but also to prevent any fraudulent transactions.**

For the convenience of investors, the Mutual Fund provides the facility of transacting online through its website – Transact Online. A new/first time investor OR an existing investor can use this facility to make an online Purchase, iSIP, Opt-iSIP or other applicable transactions. To use this facility, a Unit Holder needs to have a secured TPIN. Investors (who have a valid email & mobile registered in their folio) can instantly generate TPIN online or via the Toll Free number – 1800 108 1111. To further enhance the investor's online security, log-in happens only after clicking on the relevant link to generate, receive & use an OTP (one-time-password) sent via SMS on investor's registered email id & mobile. A new purchase, redemption & switch transactions are also OTP enabled. Validity of OTP is for 20 minutes; after which the investor will have to re-generate the same. Please DO NOT share your OTP with any un-authorised person. It is essential that for old folios (where full investor details are not updated), the original Unit Certificate (if held by the investors, as applicable) are returned back along with mandatory updation (but not limited to) of Bank details, KYC, PAN, FATCA, Email ID & Mobile no. in the folio to enable Online access.

## 13. REDEMPTION AND IDCW PROCEEDS TO UNIT HOLDERS

Unitholders in Physical mode can redeem by applying to TAMCO/ISC via a signed instruction. TAMCO shall endeavour to make the redemption/IDCW proceeds via electronic remittance or at-par cheque or Demand draft payable at the location of the investor's bank. Applicants are therefore urged to submit the bank details as mentioned in 4b. above. For unitholders in Demat mode, redemption request can be placed through Depository Participants (DP) or entitled Exchange-specified intermediaries where NSE - MFSS / BSE - STAR MF platform is available for trading Mutual Fund units.

## 14. NOMINATION

The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. If the units are held jointly, all joint holders will sign the nomination form. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate.

Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the applicant. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units.

Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent. In the event of the Unitholders not indicating the percentage of allocation/ share for each of the nominees, TAMCO, by invoking default option, shall settle the claim equally amongst all the nominees.

**Applicants who do not wish to nominate, must at the designated space confirm their intention not to nominate, failing which the form may be rejected by TAMCO.**

Transfer of units in favour of Nominee(s) shall be valid discharge by TAMCO against the legal heir. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and TAMCO shall not be under any obligation to transfer the units in favour of the Nominee(s).

## 15. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Applications on behalf of minors should be signed by their Guardian. Once Minor becomes Major, the guardian must provide the relevant documents to get the first holders' details updated. In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.

If you are investing through your Constituted Attorney, please ensure that the Power of Attorney is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on behalf of the applicant by the Constituted Attorney.

**Execution Only**-for transactions without EUIN, the applicants are required mandatorily to sign again in the given space. These signatures will be the same as and in addition to the signatures for investments in the application form.

## 16. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/AML/1/06 dated 18 January 2006 and other circulars issued from time to time, mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know your customer (KYC) policy

The investor(s) should ensure that the amount invested in the scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the investor(s) under its KYC policy and with a view to monitor transactions for the prevention of money laundering, TAMCO / Taurus Mutual Fund ("the Mutual Fund") reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the investor, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

The Mutual Fund, TAMCO, Taurus Trustee Company Limited and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application / allotment of units or mandatory redemption of units due to non-compliance with the provisions of the Act, SEBI circular(s) and KYC policy and / or where TAMCO believes that transaction is suspicious in nature within the purview of the Act and SEBI circular(s) and reports the same to FIU-IND.

## 17. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

The KYC acknowledgment will be issued by a designated KYC Registration Authority (KRA) based on the submission of the following proof/ documents:

i) photo, ii) proof of identity, iii) proof of address, iv) copy of PAN Card, v) information with regard to financial status and other demographic details and vi) any other information and/or documentation to establish identity of any unitholder(s). Each valid KYC application requires In-Person Verification (IPV). This is a confirmation that the applicant (Individual or Non-individual) of the KYC has been seen and the KYC form has been signed by the applicant in presence of an authorized person who will then put their own Name, Designation, Name of Organisation, ARN code (applicable for KYC Compliant distributors) & Stamp. The date and the time of this IPV is also needed to be mentioned clearly. This authorized person can be either from the AMC or a NISM certified KYC Compliant Distributor or a Scheduled Commercial Bank official. All valid KYC applications will be registered with the KRA. In case of Rejection by the KRA, for any reason, the KYC for the investor will not be complete and the investment for the investor is liable to be rejected. In all such cases, investors are required to promptly submit a fresh KYC application.

**For all existing KYC verified investors, who did not submit the following information at the time of KYC - before or after Jan 1, 2012, the additional details are required to be given at the earliest in order to enable further transactions in the folio -**

**Individual Investors - father/spouse name, nationality, marital status, declaration of Gross Income/Net Worth as per the new slab and In-Person Verification (IPV)**

**Non-Individual Investors - i) declaration of Gross Income/Net Worth as per the new slab ii) photo, name, PAN, Residential address & DIN/UID of all Promoters/Partners/Karta/Trustees/Whole Time Directors who are involved in day to day activities.**

Investors should note that it is mandatory for all applications, for subscription for any amount, to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application for subscription and attach proof of KYC Compliance viz. KYC Acknowledgement Letter / Printout of KYC Compliance Status downloaded from KRA website using the PAN Number. Applicants intending to apply for units through Power of Attorney (PoA) must ensure that the issuer of PoA and the holder of PoA must mention their KYC compliance status and attach proof of KYC compliance at the time of investment.

The KYC status will be validated with the records of the KRA before allotting units. Taurus Mutual Fund will not be held responsible and /or liable for rejection of KYC Form, if any, by the KRA. Applications for subscriptions of value of any amount without a valid KYC may be rejected. Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, TAMCO shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, TAMCO reserves the right to freeze the folio of the investor(s) and affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

**Definition of Politically Exposed Persons (PEP):** Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

## 18. Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

FATCA is an acronym for Foreign Account Tax Compliance Act (FATCA), a United States Federal law to increase compliance by US tax payers and is intended to bolster efforts to prevent tax evasion by the US tax payers with off shore investments. The Government of India and the United States of America (US) have reached an agreement in substance on the terms of an Inter-Governmental Agreement (IGA) and India is now treated as having an IGA in effect from April 11, 2014. The AMC/Mutual Fund are likely to be classified as a Foreign Financial Institution (FFI) (Investment Entity as per Annexure 1 (i) under the FATCA provisions).

In accordance with the regulatory requirements related to FATCA/CRS read along with SEBI Circular no. CIR/MIRSD/2/2015 dated August 26, 2015 and AMFI's Best Practices Guidelines Circular no. 63/2015-16 dated September 18, 2015 regarding uniform implementation of FATCA/ CRS requirements, w.e.f. January 01, 2016 all investors will have to mandatorily provide the details and declaration pertaining to FATCA/ CRS for all new/ existing accounts opened, failing which the AMC shall reject the application.

# INSTRUCTIONS FOR FILLING UP COMMON APPLICATION FORM

## 19. Ultimate Beneficial Ownership (UBO)

In line with the Prevention of Money Laundering Act 2005, (PMLA) and SEBI Circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and AMFI's Best Practices Guidelines Circular no. 62/2015-16 dated September 18, 2015, investors may note w.e.f. January 01, 2016 it shall be mandatory for existing investors/ unitholders to provide Ultimate Beneficial Ownership details failing which the AMC shall reject the transaction for additional subscription (including switches)

Taurus Mutual Fund reserves the right to reject application / restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in UBO, investors are required to immediately update the details with Taurus Mutual Fund / Kfin Technology Limited (Registrar).

## CHECKLIST

Please ensure that your Application Form is complete in all respects and signed by all applicants:

- Name, Address and Contact Details are mentioned in full.
- Status of First/Sole Applicant is correctly indicated.
- Bank Account Details are entered completely and correctly.
- Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated)
- Please attach proof of KYC Compliance status
- Appropriate Plan / Option is selected. If units are applied by more than one applicant, Mode of Operation of account is indicated.

Your investment Cheque / DD should be drawn in favour of 'Scheme Name - Permanent Account Number' or 'Applicant's Name' or 'Folio Number' and crossed A/c Payee only. Application Number is to be mentioned on the reverse of the Cheque/DD. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

Document	Individual	Companies	Trusts	Societies	Partnership Firms	FIIS	NRI	Investments through Constituted Attorney
1. Resolution / Authorisation to invest		✓	✓	✓	✓	✓		
2. List of Authorised Signatories with Specimen Signature (s)		✓	✓	✓	✓	✓		✓
3. Memorandum & Articles of Association		✓						
4. Trust Deed			✓					
5. Bye-Laws				✓				
6. Partnership Deed					✓			
7. Notarised Power of Attorney								✓
8. Payment mode by DD/Third Party Cheque - Declaration to be attached as applicable	✓	✓	✓	✓	✓	✓	✓	✓
9. Photo copy of PAN Proof	✓	✓	✓	✓	✓	✓	✓	✓
10. KYC Acknowledgement Letter	✓	✓	✓	✓	✓	✓	✓	✓
11. UBO		✓	✓	✓	✓	✓	✓	✓
12. FATCA	✓	✓	✓	✓	✓	✓	✓	✓

All document in 1 to 6 above should be originals or true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

SIP / OptiSIP ENROLMENT - CUM - AUTO DEBIT / SIP CANCELLATION (Please read instructions carefully before filling up the form)

Application No.

ARN/RIA Code and Name	Sub-Broker's ARN Code	Employee Unique Identity Number*	Internal Code for Sub-broker/Employee	Time Stamp (for office use only)

Investors subscribing under the "DIRECT" plan of the scheme should mention "DIRECT" in the ARN column.

#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Taurus Mutual Fund.

EXECUTION ONLY (To be signed when EUIN is left blank)

\*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Please sign here

Please sign here

Please sign here

First / Sole Applicant/ Guardian / POA Holder / Auth. Sign

Second Account Holder's Signature

Third Account Holder's Signature

<input type="checkbox"/> Registration of SIP/OptiSIP/Micro SIP	<input type="checkbox"/> Cancellation of SIP/OptiSIP/Micro SIP	<input type="checkbox"/> Renewal of SIP/OptiSIP/Micro SIP
--	--	---

New Investor <input type="checkbox"/> Y <input type="checkbox"/> N	Folio No. <input type="text"/>
--	--------------------------------

## INVESTOR AND INVESTMENT DETAILS

Name of Sole/First Applicant	Mr. Ms. M/s
Name of Second Applicant	Mr. Ms.
Name of Third Applicant	Mr. Ms.

Name of Guardian (for Minor applicant) / POA Holder / Contact person (for Non-indl. Applicant)	
Mr. Ms.	

ID & Add Proof Document Name, in case of Micro SIP	Sole/First Applicant/ Guardian	Second Applicant	Third Applicant

Name of Scheme	Plan	Option

<input type="checkbox"/> SIP / Micro SIP	<input type="checkbox"/> OptiSIP
--	----------------------------------

SIP Amount (₹)	SIP Date	SIP Period From	To	OR	40 Years

## Frequency Details [Please ✓]

<input type="checkbox"/> Daily SIP	<input type="checkbox"/> Weekly SIP	<input type="checkbox"/> Fortnightly SIP	<input type="checkbox"/> Monthly SIP	<input type="checkbox"/> Quarterly SIP
All Days between 1 to 28	7th, 14th, 21st, 28th of every month	Date will be 1st and 15th	Any date between 1 to 28. Default date will be 10th	

First/Initial Investment Cheque Number	Cheque Date

☐ SIP Top-up (Optional) (Please ✓ to avail this facility) Top-up Minimum Amount Rs. 500/- for Half Yearly and Rs. 1000/- for yearly

SIP Top-up Amount (₹)	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default taken will be yearly and for Rs. 1000/-)

## PARTICULARS OF BANK ACCOUNT

I/We hereby, authorize Taurus Mutual Fund and their authorized service providers, to debit my/our following bank account by ECS (Debit Clearing)/auto debit to account for collection of SIP/OptiSIP payments.

Name of the Account Holder as per Bank Records

Bank Name

Branch Address	City

Account Number	Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO

9 digit MICR Code	11 digit IFSC Code

**Dedication & Signature (s):** Having read and understood the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. Applicable for NRI's only - I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External / Non-Resident Ordinary / FCNR account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We confirm that details provided by me/us are true and correct. Please ☒ Repatriation basis ☐ Non-Repatriation basis \* Please strike out whichever is not applicable.

Please sign here

Please sign here

Please sign here

First / Sole Applicant/ Guardian / POA Holder / Auth. Sign

Second Account Holder's Signature

Third Account Holder's Signature



## One Time Mandate (OTM)

UMRN	FOR OFFICE USE	Date

Tick (✓)	Sponsor Bank Code	Utility Code
CREATE <input checked="" type="checkbox"/>	FOR OFFICE USE	FOR OFFICE USE
MODIFY <input type="checkbox"/>		
CANCEL <input type="checkbox"/>		

I/We, hereby authorize	Taurus Mutual Fund	To debit (tick ✓) <input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Other

Bank a/c Number:	

With Bank	IFSC	or MICR

An amount of Rupees	₹

FREQUENCY <input checked="" type="checkbox"/> Mthly <input checked="" type="checkbox"/> Qly <input checked="" type="checkbox"/> H-Yrly <input checked="" type="checkbox"/> Yrly <input checked="" type="checkbox"/> As & when presented	DEBIT TYPE <input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount
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PAN/Folio No.	Mobile No.

Reference	Email ID

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

PERIOD	Signature Primary Account Holder	Signature of Account Holder	Signature of Account Holder
From			
To			

Maximum period of validity of this mandate is 40 years only

1. Name as in bank records

2. Name as in bank records

3. Name as in bank records

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me.
- I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.

## INSTRUCTIONS FOR FILLING UP SIP / OPTISIP ENROLMENT - CUM - AUTO DEBIT / SIP CANCELLATION / CHANGE OF BANK DETAILS

- Please read the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM) & addendums forming part of SIP / SAI & KIM carefully before filling the Application Form. Applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment. Name and address should be written in BLOCK LETTERS.

Application Forms will be available at any of the designated Investor Service Centres (ISCs) of KFin or any of the branches of Taurus Asset Management Co. Ltd. (TAMCO). Application Forms can be supplied by post on request and can also be downloaded from the website of the TAMCO - [www.taurasmutualfund.com](http://www.taurasmutualfund.com).

For investors applying through an ARN holder (distributor), it is important to also mention the EUIN code on the application form, as applicable; in addition to the ARN code of the distributor. This EUIN is a unique number, given to the sales person/RM/employee of the distributor, and will assist in resolving complaints.

- "Transaction charge" (TC) will be applicable (where the distributor chooses for 'Opti-in') if the total commitment through SIP/Opti SIP/Micro SIP amounts to ₹10,000/- and above. For existing investors the TC will be ₹100/-, while for first time investor in a mutual fund the TC will be ₹150/-. For SIP/Opti SIP/Micro SIP the transaction charge will be recovered in 4 instalments by TAMCO on behalf of the distributor. However there shall be no transaction charges on direct investments.

- Auto Debit facility is offered to the investors maintaining their bank accounts with certain banks. The current list India/Punjab National Bank/Kotak Mahindra Bank/IndusInd Bank/ICICI Bank/Citi bank/HDFC Bank/IDBI Bank/AXIS Bank/State Bank of India/Union Bank of India/Corporation Bank. The list may undergo changes from time to time.

- An investor can choose between Micro SIP, Normal SIP ("SIP") and Opti SIP ("Opti SIP").

- Electronic Clearing System (ECS) facility will be available in the selected cities and list is available in RBI website [www.rbi.org.in](http://www.rbi.org.in). This facility may be modified / updated / changed / removed at any time in future without assigning any reasons or prior notice. If any city or the facility is removed the TAMCO may on best effort basis route them for Auto Debit facility. Should the investor's bank refuse to accept then the request will be returned without any registration and investor is requested for fresh submission with appropriate form Opti SIP is available via Auto Debit /NACH route only.

- As per the directive issued by SEBI vide circular nos. IIMARP/MF/CIR/07/826/98 dated April 15, 1998, and IMD/CIR/No. 6/4213/04 dated March 1, 2004, it is mandatory for applicants to mention their bank details in their applications for purchase or redemption of units. Further, SEBI has mandated that all investors (including joint investors, guardians of minors, NRIs etc.) are required to enclose a certified copy of the KYC Acknowledgement for investing in the Mutual Fund for the first time. The absence of bank detail & KYC acknowledgement will result in the application getting rejected and the application amount will be refunded without any interest.

- First time Investors are required to submit following documents atleast 30 working days before the first SIP/Opti SIP Instalment date for Auto Debit & NACH form:-

(a) Common Application Form with SIP/Opti SIP Enrolment - cum - Auto Debit/NACH Application Form.

(b) The cheque for the initial investment should be issued from the same bank account which is to be debited under NACH/Auto Debit for SIP/Opti SIP instalments.

(c) A photo copy/cancelled cheque from Auto Debit/NACH Debit Account (as mentioned on the application form)

Existing Investors are required to quote their folio number and submit only the SIP/Opti SIP Enrolment cum Auto Debit/NACH Application Form along with the requirement in (b) & (c) above.

- An investor can choose only one type of SIP/Opti SIP via one form. For choosing both SIP/Opti SIP types, an investor will have to fill in two separate SIP/Opti SIP forms. The main differences between SIP and Opti SIP are -

a) SIP has Daily, Weekly, Monthly or Quarterly investment options, while Opti SIP has monthly option only.

b) In SIP, all the monthly instalment amounts are the same for the entire period. For Opti SIP, an investor will have to decide a monthly Fixed minimum instalment amount (A) and a monthly Fixed Maximum instalment amount (B), which has to be greater than (A). The actual Opti SIP instalment amount will be calculated by a formula\*\*\*, but irrespective of the formula amount, the final monthly Opti SIP investment will not exceed the Fixed Maximum instalment amount (B), as decided by the investor.

- Formula\*\*\* - A= Fixed Minimum Instalment amount ii) Fixed Maximum Instalment amount = B iii) [A \* current number of instalment] - Account value of investments via Opti SIP as on 5th day (T-5) before the instalment date = C iv) Opti SIP Instalment amount = C, provided it is not less than A or greater than B. For example:

Scenario	A	B	C	Opti SIP Instalment Amount
1	1000	2000	800	1000
2	1000	2000	2100	2000
3	1000	2000	1500	1500

- T = Instalment dates. If T-5th day is a holiday/non-businessday, then account valuation for investments via Opti SIP will be done on T-6th day.

- On T-2, on a best effort basis the actual Opti SIP instalment amount, will be communicated to the investor via SMS/Email, where relevant details are available.

- SIP/Opti SIP via Auto debit/NACH facility is available 1st to 28th of the month. An investor shall have the option of choosing for one or more than one SIP/Opti SIP in the same scheme and in the same month. To register multiple SIP/Opti SIPs in the same scheme/same month, please submit separate Auto Debit/NACH Application Form.

- If the date on the first cheque/draft or subsequent NACH/Auto Debit Transactions is a non-Business Day for the scheme, then the units shall be allotted on the next Business Day.

- Across all TAMCO Schemes, an investor can choose to invest in the "Existing" plan or in the "Direct" plan. All schemes offered by Taurus MF are eligible for SIP/Opti SIP mode of investment. Minimum application amount for Daily, Weekly, Monthly & Quarterly SIP/Opti SIP Option - 6 instalments of ₹500/- each or in multiples of ₹1/- thereafter. For Quarterly SIP Option - 4 instalments of ₹500/- each and in multiples of ₹1/- thereafter. (For Taurus ELSS Tax Saver Fund SIP/Opti SIP Minimum of 12 monthly instalment of ₹500/- each)

- For MICRO SIP maximum SIP amount can be ₹4000/- Per Month or ₹12000/- Per Quarter. Opti SIP facility is NOT offered for Micro SIPs. In accordance with SEBI letter no. MRD/DoP/PAN/PM/166999/2009 dated June 19, 2009 issued to Association of Mutual Fund in India (AMFI) and subsequent guidelines issued by AMFI vide its circular no. 35P/MEM-COR/4/09 10 dated July 14, 2009 in this regard, SIPs upto ₹50,000/- per year per investor i.e. aggregate of investments in a rolling 12 months period or in a financial year i.e. April to March (hereinafter referred to as "Micro SIP") shall be exempted from the requirement of PAN, with effect from August 1, 2009. Micro SIP will not be subject to common KYC process through CVL. This exemption shall be applicable only to investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms including joint holders. HUF and other categories of investors will NOT be eligible for this exemption.

This exemption shall not be applicable to normal purchase transactions upto ₹50,000/- which will continue to subject to the PAN requirement.

Any one of the following photo identification document can be submitted along with Micro SIP applications as proof of identification in lieu of PAN: • Voter Identity Card Driving License • Government /Defense identification card • Passport • Photo Ration Card • Photo Debit Card • Employee ID card issued by companies registered with Registrar of Companies • Photo Identification issued by Bank Manager of Scheduled Commercial Bank/Gazetted Officer / Elected Representatives to the Legislative Assembly /Parliament • ID card issued to employees of

Scheduled Commercial / State / District Co-operative Bank • Senior Citizen / Freedom Fighter ID card issued by Government • Card issued by Universities/deemed Universities or institutes under statutes like ICAI, ICWA, ICSI • Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSD) or Any other photo ID card issued by Central Government /State Government / Municipal authorities / Government organizations like ESIC / EPFO. The photo identification document has to be current and valid and also to be either self attested or attested by the ARN holder (AMFI Registered Distributor) mentioning ARN number. Important: While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting document. The Micro SIP application will be rejected by the AMC where it is found that the registration of the application will result in the aggregate of Micro SIP instalments in a financial year exceeding ₹50,000/- or where there are deficiencies in the documents submitted by the investors in lieu of PAN as mentioned above. The rejected application will be sent back to the investor with a deficiency memo. In case the first Micro SIP instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be terminated for future instalments. No refunds shall be made by the AMC for the units already allotted and a communication to this effect will be sent to the investors. However, investors shall be allowed to redeem their investments at applicable NAV.

- Allotment of units would be subject to realisation of cheque or funds

- The Unit holders can choose to opt out from the SIP/Opti SIP at any point of time by submitting a written request to the nearest ISC or TAMCO. Such request for discontinuation should be received at least 15 days prior to the next due date of the SIP/Opti SIP. On receipt of such a request, the SIP/Opti SIP will be discontinued for the respective scheme(s).

- TAMCO reserves the right to reject any application without assigning any reason thereof. TAMCO in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.

- In accordance with the requirements specified by the SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009 no entry load will be charged with effect from August 1, 2009. Exit Load as applicable in the respective Scheme at the time of enrolment of SIP/Opti SIP will be applicable.

- A Statement of Account will be issued in physical form or by e-mail (if opted by the unit holder) to the unit holder in case of Physical Form - For the first transaction, the Statement will be dispatched within 10 working days and for subsequent frequency of transactions, the Statement will be dispatched within 10 working days at the end of every quarter. In case of specific requests of the investor, Mutual Fund will endeavor to dispatch within 5 working days from date of receipt of such request. In case of electronic form - The Statement shall be sent by e-mail as provided in the form if so mandated. The statement shall be sent on the next business day of the transaction day in a secure password protected form.

- Applicants should indicate the option (IDCW/Growth) for which the application is made, by indicating the choice in the appropriate box provided for this purpose in the SIP/Opti SIP Application Form.

- The KYC acknowledgment will be issued by CVL/NDML or any other designated KYC Registration Authority (KRA) based on the submission of the required proof/ documents.

Each valid KYC application requires In-Person Verification (IPV). This is a confirmation that the applicant (Individual or Non-individual) of the KYC has been seen and the KYC form has been signed by the applicant in presence of an authorized person who will then put their own Name, Designation, Name of Organisation, ARN code (applicable for KYC Compliant distributors) & Stamp. The date and the time of this IPV is also needed to be mentioned clearly. This authorized person can be either from the AMC or a NISM certified KYC Compliant Distributor or a Scheduled Commercial Bank official. All valid KYC applications will be registered with the KRA. In case of Rejection by the KRA, for any reason, the KYC for the investor will not be complete and the investment for the investor is liable to be rejected. In all such cases, investors are required to promptly submit a fresh KYC application.

For all existing KYC verified investors, who did not submit the following information at the time of KYC - before or after Jan 1, 2012, the additional details are required to be given at the earliest in order to enable further transactions in the folio -

**Individual Investors** - father/spouse name, nationality, marital status, declaration of Gross Income/Net Worth as per the new slab and In-Person Verification (IPV)

**Non-Individual Investors** - i) declaration of Gross Income/Net Worth as per the new slab ii) photo, name, PAN, Residential address & DIN/UID of all Promoters/Partners/Karta/Trustees/Whole Time Directors who are involved in day to day activities.

Investors should note that it is mandatory for all applications, for subscription for any amount, to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application for subscription and attach proof of KYC Compliance viz. KYC Acknowledgement Letter / Printout of KYC Compliance Status downloaded from KRA website using the PAN Number. Applicants intending to apply for units through Power of Attorney (PoA) must ensure that the issuer of PoA and the holder of PoA must mention their KYC compliance status and attach proof of KYC compliance at the time of investment.

Applications for subscriptions of value of any amount without a valid KYC may be rejected. Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, TAMCO shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, TAMCO reserves the right to freeze the folio of the investor(s) and affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

- The Bank, i.e. the investor's bank, shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has the effect of preventing the performance of the contract by the Bank.

### DECLARATION AND SIGNATURES

- Signatures should be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF.

- Applications on behalf of minors should be signed by their guardian.

- Applicant needs to sign twice as indicated on the form, if EUIN number is mentioned. In the absence of EUIN, investor has to sign a third time for Execution only.