

ARN/RIA Code and Name	Sub-Broker's ARN Code	Employee Unique Identity Number*	Internal Code for Sub-broker/Employee	Time Stamp (for office use only)

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors including the service rendered by the distributor. Investors subscribing under the "DIRECT" plan of the scheme should mention "DIRECT" in the ARN column

1. UNIT HOLDER INFORMATION (Please fill in your Folio No. & Name and then proceed to Section 10) Applicable details and mode of holding will be as per the existing Folio.

New Investor Y N Folio No. _____

2. PAN AND KYC COMPLIANCE STATUS DETAILS (MANDATORY) (Refer Instruction 2, 16 & 17)

	PAN/PEKERN No.	KYC Number	Nationality
First / Sole Applicant			
Second Applicant			
Third Applicant			
Guardian POA Holder/Contact Person			

Please attach Proof. for PAN/PEKERN for KYC (KRA). Refer instruction No 17 for KYC Identification Number issued by CKYCR.

3. UNIT HOLDER / NEW APPLICANT INFORMATION (Refer Instruction Page) Fresh / New investors to fill in all the Sections 2 to 15

NAME OF FIRST / SOLE APPLICANT _____

Ms. M/s. _____
 DATE OF BIRTH (DOB) (Mandatory in case of minor) DATE OF INCORPORATION

NAME OF THE GUARDIAN / POA Holder/ Contact Person _____

Ms. M/s. _____

For Investments "On behalf of Minor": (*Refer Instruction 3 for mandatory documents to be attached)

Proof of DOB & Relationship attached Birth Certificate School Certificate / Marksheet Passport Any other.....

NAME OF SECOND APPLICANT _____

Ms. _____

NAME OF THIRD APPLICANT _____

Ms. _____

4. MODE OF HOLDING [PLEASE TICK (✓)]

Single Joint (Default) Anyone or Survivor

5. FIRST/SOLE APPLICANT - MAILING ADDRESS & CONTACT DETAILS

State _____ Pin Code _____ City _____
 STD Code _____ Telephone Off. _____ Resi. _____ Mob. _____
 E-Mail** _____

This E-Mail ID/Mobile No. belongs to: Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian in case of minor PMS Custodian POA holder **Refer instruction No 12

OVERSEAS ADDRESS (Mandatory for NRI / FI application)

State _____ Pin Code _____ City _____
 Country _____

6. Other KYC details (Mandatory) Individual Non-Individual

6a. Status of First/Sole Applicant [Please (✓)]

<input type="checkbox"/> Partnership	<input type="checkbox"/> Society/Club	<input type="checkbox"/> Listed Company	<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Individual	<input type="checkbox"/> Minor through guardian	<input type="checkbox"/> HUF
<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non-Repatriable	<input type="checkbox"/> Company	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> FPI
<input type="checkbox"/> FI/Sub account of FI	<input type="checkbox"/> Fund of Funds in India	<input type="checkbox"/> QFI	<input type="checkbox"/> Others _____	(please specify)		

6b. Occupation Details [Please (✓)] (To be filled only if the applicant is an individual)

First Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others _____	(please specify)
Second Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others _____	(please specify)
Third Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist
	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others _____	(please specify)

ACKNOWLEDGEMENT SLIP - Zero Balance Folio Form

6c. Gross Annual Income (in ₹) [Please (✓)]
 First Applicant Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs > 25 Lacs - 1 Crore > 1 Crore (or)
 Net-worth (Mandatory for non-individuals) ₹ _____ as on (Not older than one year)

Second Applicant Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs > 25 Lacs - 1 Crore > 1 Crore (or) Net-worth _____

Third Applicant Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs > 25 Lacs - 1 Crore > 1 Crore (or) Net-worth _____

6d. First Applicant
 For Individuals (Please (✓)) Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) I am PEP I am related to PEP Not Applicable
 For Non-Individuals providing any of the below mentioned services (Please (✓))
 Foreign Exchange/Money Changer Services/Gaming/Gambling/Lottery/Casino Services Money Lending/Pawning None of the above

Second Applicant: (To be filled only if the applicant is an individual) I am PEP I am related to PEP Not Applicable

Third Applicant: (To be filled only if the applicant is an individual) I am PEP I am related to PEP Not Applicable

7. FATCA & CRS Information (For Individual Including Sole Proprietor) (Self Certification) (Refer Instruction 16)

The below information is required for all applicant(s)/ guardian
 Address Type: Residential or Business Residential Business Registered Office (for address mentioned in form/existing address appearing in Folio)
 Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India? Yes No
 If Yes, please provide the following information [mandatory]
 Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No [^]			
Identification Type [TIN or other, please specify]			
Country of Tax Residency			
Tax Payer Ref. ID No.			
Identification Type [TIN or other, please specify]			
Country of Tax Residency			
Tax Payer Ref. ID No.			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

8. POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of PoA Mr. Ms. /M/s. _____
 PAN# / PEKRN# _____ KYC Number _____
 KYC # _____ [Please tick (✓)] (Mandatory) Proof Attached

Please attach Proof. Refer instruction No 16, 17 & 18

9. DEMAT ACCOUNT DETAILS

I would like units to be allotted in DEMAT mode as per the details below:

Beneficiary Owner Identification Number (BO ID)	Depository Participant (DP) Name
DP ID No. _____ Client ID No. _____	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL

Enclosures for Demat option Client Master List (CML) Transaction cum Holding Statement Delivery Instruction Slip (DIS)

10. BANK ACCOUNT DETAILS (Please note that as per SEBI regulations, it is mandatory for investors to provide their bank account details) (Refer Instruction 4)

Name of the Bank _____
 Branch Address _____
 City _____ Pin Code _____
 Account No. _____ Account Type Please tick (✓) Savings Current NRE NRO FCNR Others (please specify)
 MICR Code _____ This is a 9 digit number next to your cheque number.
 IFSC Code _____ Please attach a blank extra cheque cancelled or a clear photocopy of a cheque
 It is the responsibility of the investor to ensure the correctness of the IFSC code of the recipient /destination branch corresponding to the bank details mentioned in Section 10.

Cheque No.	Amount	Scheme/Plan/Option

Collection Centre / AMC Stamp / Signature

Investment Type (Please (✓)) ONE TIME PURCHASE SIP/Opti SIP PURCHASE (Please fill up SIP auto debit or PDC form and attach with this form)

11. NOMINATION DETAILS

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

Mandatory Details

Details	NOMINEE 1	NOMINEE 2	NOMINEE 3
Nominee Name			
Share of nominee (%)**			
Relationship			
Mobile Number & E-mail			
Identity Number***			
Nominee Address			

Additional Details****

Nominee date of birth	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
Guardian																								

***Joint Accounts:**

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holder

- ** if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects').
 - *** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required.
 - **** to be furnished only in following conditions / circumstances:
 - Date of Birth (DoB): please provide, only if the nominee is minor.
 - Guardian: It is optional for you to provide, if the nominee is minor.
- a) I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)
- Name of nominee(s) Nomination: Yes / No
- b) I hereby authorize (nominee name) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to % of assets in the account / folio _____ or Rs. (strike off portions that are not relevant)
- c) This nomination shall supersede any prior nomination made by me / us, if any.

12. DOCUMENTS ENCLOSED (PLEASE ✓)

<input type="checkbox"/> Memorandum & Articles of Association	<input type="checkbox"/> Trust Deed	<input type="checkbox"/> KYC acknowledgement	<input type="checkbox"/> SIP Enrolment Form (For Investment through PDC)
<input type="checkbox"/> Resolution / Authorisation to invest	<input type="checkbox"/> PAN Copy	<input type="checkbox"/> LLP Agreement	<input type="checkbox"/> SIP Enrolment Form (For Investment through NACH / Auto Debit)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certificate of Incorporation	<input type="checkbox"/> Partnership Deed	<input type="checkbox"/> SWP/STP/DSO Enrolment Form
<input type="checkbox"/> List of Authorised Signatories with Specimen Signature(s)	<input type="checkbox"/> Bye-Laws	<input type="checkbox"/> HUF Deed	<input type="checkbox"/> Third Party Payment Declaration Form
		<input type="checkbox"/> Beneficiary ownership list	<input type="checkbox"/> Multiple Bank Account Registration Form

13. NONE PROFIT ORGANISATION (NPO)

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

Yes No

If yes, please quote Registration No. of Darpan portal of Niti Aayog

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

14. DECLARATION(S) & SIGNATURE(S) (REFER INSTRUCTION 12)

Having read and understood the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

Applicable for NRI's only - I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External /Non-Resident Ordinary /FCNR account.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We confirm that details provided by me/us are true and correct.

**I agree to receive all communication i.e. Statement of Account (SOA), Portfolio, Annual / Abridged Reports etc. (including regulatory updates) related to my investment via email. I may voluntarily subscribe to the on-line access for transacting through the internet facility provided by Taurus Mutual Fund and confirm of having read, understood and agree to abide by the terms and conditions for availing of the internet facility more particularly mentioned on the website www.taurusmutualfund.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

I/We confirm A resident of US/Canada Not a resident of US/Canada

Opt-in (Select this box in order to receive the physical copy of the schemewise Annual / Abridged Report at the end of financial year)

Instruction For Filling up Zero Balance Folio Form

1. GENERAL INSTRUCTIONS

Please read Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) carefully before filling the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. Please strike out any section that is not applicable. Cancellation / Correction with White ink on any of the mandatory information should be counter signed. Applications completed in all respects, must be submitted at the designated Investor Service Centres (ISCs) of Computer Age Management Services or Taurus Asset Management Co. Ltd. (TAMCO) branches across India. A list of all ISC's and TAMCO branches is provided in the KIM and SID.

Applications incomplete in any respect are liable to be rejected. The AMC / Trustee retains the sole and absolute discretion to reject any application.

The booklet has the following Application Forms:

No. of Forms

- Common Application Forms with Nomination _____ 1
- SIP / OptiSIP-Enrolment - cum - Auto Debit / NACH Application Form _____ 1

New investors wishing to make an SIP investment will need to complete and submit both the Application Form and the SIP Enrollment Form for Auto Debit/NACH/ Standing Instruction as applicable).

For investors applying through an ARN holder (distributor), it is important to also mention the EUIN code on the application form, as applicable; in addition to the ARN code of the distributor. This EUIN is a unique number, given to the sales person/RM/employee of the distributor, and will assist in resolving complaints.

2. EXISTING UNIT HOLDER INFORMATION

Investors already having an account in any of Taurus Mutual Fund Schemes should provide their Folio Number, and proceed to Section 10. The personal details and Bank Account details as they feature in the existing account would apply to this investment as well and would prevail over any conflicting information furnished in this form. In case existing unit holder PAN & KYC is not validated, please proceed to section 4. Mode of holding will be as per existing Folio Number.

3. UNIT HOLDER INFORMATION

Name and address must be written in full. In case the Investor is an NRI/FII, an overseas address must be provided mandatorily. On successful validation of investor's PAN for KYC, the address provided in the KYC form will override the address mentioned in this form. A local address if available may also be mentioned in the Application Form. Name of the Parent or Guardian must be mentioned if the investments are being made on behalf of a minor. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a certified true copy of the same duly notarised) or the relevant resolution or authority to make the application (or duly notarised copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or by-laws and/or trust deed and/or partnership deed and/or Certificate of Registration. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified / attested should also be attached to the Application Form. In case of a Trust/Fund a resolution from the Trustee(s) authorising such purchase must be submitted. For Investments "On behalf of Minor", please note that the minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holder's details and nomination details, even if mentioned and signed will not be considered. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC acknowledgement are mandatory, along with supporting documents. Photo copy of the document evidencing the date of birth of minor like (i). Birth certificate of the minor, or (ii). School leaving certificate / Mark sheet or (iii). Passport of the minor, or (iv). Any other suitable proof should be attached with the application form. Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.

All communications and payments shall be made in the name of and favouring the first / sole applicant. In case of applications made in joint names without indicating the mode of holding, mode of holding will be deemed as 'Joint' and processed accordingly.

NRI investors (residents of USA & Canada) will not be able to access "Taurus Online" (to view/transact via our website) but can only invest in offline mode when they visit any CAMS ISC/Taurus AMC branch in person in India and submit all the required documents along with NRI - US-Canada Declaration form.

4. BANK ACCOUNT DETAILS

In order to protect the interest of investors from fraudulent encashment of cheques, it is mandatory for Unit holders to specify their complete bank details in the application for subscription or redemption of units.

Applications without complete bank details shall be rejected.

4a. Change in Bank Details

Unit holders are free to change their bank details registered with the Mutual Fund subject to adherence with the following procedure:

Original of any one of the following or Originals to be produced for verification or True attested copy by the bank -

- A) i) Cancelled cheque of the new bank mandate with the 1st unit holder name and bank account number printed on the cheque or (ii) Copy of bank statement or (iii) Bank passbook with current entries not older than 3 months or (iv) Bank letter duly signed by branch manager / authorised person.
- B) For existing folios where no previous bank account details are available, in addition to the above, copy of a photo id proof is also mandatory submission.

In the event of a request for change in bank account information being invalid/incomplete/not satisfactory in respect of signature mismatch/document insufficiency/not meeting any requirements more specifically as indicated in clauses (A) & (B) above, the request for such change will not be processed. Redemptions / IDCW payments, if any, will be processed and the last registered bank account information will be used for such payments to Unit holders. Unit holders may note that it is desirable to submit their requests for change in bank details atleast 10 days prior to date of redemption / IDCW payment, if any.

4b. Indian Financial System Code (IFSC)

IFSC - Indian Financial System Code- This is a 11 digit numeric number given by the bank and it appears on the cheque. In cases where it does not appear, investors are advised to obtain the same from their bank branch. This number will, in a secured form, enable to transfer the funds electronically as and when payments are to be made by TAMCO to the investor.

MICR- Magnetic Ink character recognition - This is 9 digit numeric number given by the bank and it appears on the lower white portion of the cheque. This number is an alternate to IFSC code number that will enable to transfer the funds electronically as and when payments are to be made to the investor.

4c. Multiple Bank Account Registration

The Mutual Fund has also provided a facility to the individual investors to register upto 5 multiple bank accounts; while non individual investors can register upto 10 bank accounts. By registering multiple bank accounts, the investors can use any of the registered bank accounts to receive redemption / IDCW proceeds.

4d. Bank Mandate Registration As Part Of Fresh Purchase Application/ New Folio Creation

With effect from 01/11/2013, Investors / Unitholders would be required to submit one of the following documents for the bank mandate specified in the application form, in case the cheque provided along with fresh subscription / new folio creation does not belong to the bank mandate specified in the application form (for redemption / IDCW payment):

1. Original cancelled cheque having First Holder's Name & Bank Account Number printed on the face of the cheque (or)
2. Original Bank Statement reflecting the First Holder's Name, Bank Account Number and Bank Name as specified in the application (or)
3. Photocopy of the bank statement or bank pass book with current entries not older than 3 months duly attested by the Bank Manager / Authorized Official (or)
4. Bank letter confirming the Name & Bank Account Number of the First Holder along with the MICR & IFSC details (if available) duly signed by the Bank Manager / Authorized Official.

5. DEMAT ACCOUNT

The unitholders in the open-ended schemes have an option to hold the units in DEMAT mode. If the details mentioned in the Common Application Form (CAF) are incomplete/erroneous, not matching with the Demat Account Details, then the units will be issued in Physical (Statement of Account - SOA) mode. It is required that all applicants submit Client Master List (CML) or any other document(s) [eg. Transaction cum holding statement or Delivery Instruction Slip (DIS)] specifying the name and Demat a/c no. details as a proof for the Demat Account Details furnished in the CAF. In case of holding in Demat account, the details like bank mandate, contact details etc. will be overwritten as per the data stated in the Demat account and not as per the CAF. If the unitholders so choose, they can also apply to convert de-materialized units into SOA form by submitting an application for Re-materialization of units to the Depository Participant (DP).

6. TRANSFER OF UNITS

If the units are issued in demat mode, they are transferable in accordance with the provisions of SEBI (Depositories & Participants) Regulation as maybe amended from time to time. The Transferor will need to issue delivery instructions for transfer of units in the required form and submit to the relevant DP for effecting the transfer in accordance with such rules/regulations as may be in force governing transfer of securities in demat mode.

7. THIRD PARTY PAYMENTS

1. TAMCO / ISC of Computer Age Management Services, shall not accept applications for subscriptions of units accompanied with Third Party Payments except in cases as enumerated in para 10.2a below. "Third Party Payment" means payment made through an instrument issued from a bank account other than that of the first named applicant / investor mentioned in the application form. In case of payment instruments issued from a joint bank account, the first named applicant / investor must be one of the joint holders of the bank account from which the payment instrument is issued.
- 2a. As referred to in para 10.1 above, following are the exceptional cases where third party payments will be accepted subject to submission of requisite documentation/ declarations.
 - i) Payment by Parents/Guardians* on behalf of a minor;
 - ii) Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions.
 - iii) Custodian on behalf of an FII or a Client.

*Guardian - means a Natural (Parents) or a court-appointed entity.

- 2b. For investments through third party payments, Investors must attach the 'Third Party Payment Declaration Form' (available at any of our ISCs or on our website www.taurusmutualfund.com) along with the Application Form for subscription of units.

8. Consolidated Account Statement (CAS) :

A Consolidated Account Statement (CAS)^, for each calendar month for Unit holder(s) (with valid PAN details in the folio) where transaction(s) has/have taken place during the month, shall be sent by mail/e-mail on or before 15th of the following month.

^Consolidated Account Statement (CAS) shall contain details relating to all the purchase (excluding any New Fund Offer (NFO) transactions), redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions, carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor.

9. E-MAIL COMMUNICATION & ONLINE ACCESS

Account Statements / Annual Reports / Other Statutory information (as may be required under SEBI (Mutual Funds) Regulations, 1996), can be sent to each Unit holder by courier / post / e-mail. Unit holders who have provided their email ID will receive these documents by email and have to download and print the same. Unitholder may choose to select the 'Opt-in' box (Refer column No. 15 in Common Application Form) to get the physical copy of the schemewise annual / abridged report. On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted, by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, will be sent to the Unit holders registered e-mail address and/or mobile number. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly advise the Mutual Fund to enable the Mutual Fund to make the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

**The email id & mobile no. provided by the investor should belong to the first holder only. It should not belong to any other third party (including advisors or distributor's). If a breach is found, AMC/RTA reserve the right to delete such information. As a general rule, the email address of one investor is not allowed to be updated against multiple folios, unless a specific written request (with proper reasons) is received in this regard duly signed by all investors, OR if the investors in such folios belong to the same family (self, spouse, dependant parents & dependant children). This should be treated as a mandatory and important requirement to not only ensure speedy & efficient communication between the investor(s) & AMC/RTA but also to prevent any fraudulent transactions.

Instruction For Filling up Zero Balance Folio Form

For the convenience of investors, the Mutual Fund provides the facility of transacting online through its website – Transact Online. A new/first time investor OR an existing investor can use this facility to make an online Purchase, iSIP, Opti-SIP or other applicable transactions. To use this facility, a Unit Holder needs to have a secured TPIN. Investors (who have a valid email & mobile registered in their folio) can instantly generate TPIN online or via the Toll Free number – 1800 108 1111. To further enhance the investor's online security, log-in happens only after clicking on the relevant link to generate, receive & use an OTP (one-time-password) sent via SMS on investor's registered email Id & mobile. A new purchase, redemption & switch transactions are also OTP enabled. Validity of OTP is for 20 minutes; after which the investor will have to re-generate the same. Please DO NOT share your OTP with any un-authorised person. It is essential that for old folios (where full investor details are not updated), the original Unit Certificate (if held by the investors, as applicable) are returned back along with mandatory update (but not limited to) of Bank details, KYC, PAN, FATCA, Email ID & Mobile no. in the folio to enable Online access.

10. REDEMPTION AND IDCW PROCEEDS TO UNIT HOLDERS

Unitholders in Physical mode can redeem by applying to TAMCO/ISC via a signed instruction. TAMCO shall endeavour to make the redemption/IDCW proceeds via electronic remittance or at-par cheque or Demand draft payable at the location of the investor's bank. Applicants are therefore urged to submit the bank details as mentioned in 4b. above. For unitholders in Demat mode, redemption request can be placed through Depository Participants (DP) or entitled Exchange-specified intermediaries where NSE - MFSS/ BSE - STAR MF platform is available for trading Mutual Fund units.

11. NOMINATION

The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. If the units are held jointly, all joint holders will sign the nomination form. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate.

Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the applicant. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units.

Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent. In the event of the Unitholders not indicating the percentage of allocation/ share for each of the nominees, TAMCO, by invoking default option, shall settle the claim equally amongst all the nominees.

Applicants who do not wish to nominate, must at the designated space confirm their intention not to nominate, failing which the form may be rejected by TAMCO.

Transfer of units in favour of Nominee(s) shall be valid discharge by TAMCO against the legal heir. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and TAMCO shall not be under any obligation to transfer the units in favour of the Nominee(s).

12. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Applications on behalf of minors should be signed by their Guardian. Once Minor becomes Major, the guardian must provide the relevant documents to get the first holders' details updated. In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.

If you are investing through your Constituted Attorney, please ensure that the Power of Attorney is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on behalf of the applicant by the Constituted Attorney.

Execution Only for transactions without EUN, the applicants are required mandatorily to sign again in the given space. These signatures will be the same as and in addition to the signatures for investments in the application form.

13. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/AML/1/06 dated 18 January 2006 and other circulars issued from time to time, mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know your customer (KYC) policy

The investor(s) should ensure that the amount invested in the scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the investor(s) under its KYC policy and with a view to monitor transactions for the prevention of money laundering, TAMCO / Taurus Mutual Fund ("the Mutual Fund") reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the investor, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

The Mutual Fund, TAMCO, Taurus Trustee Company Limited and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios / rejection of any application / allotment of units or mandatory redemption of units due to non-compliance with the provisions of the Act, SEBI circular(s) and KYC policy and / or where TAMCO believes that transaction is suspicious in nature within the purview of the Act and SEBI circular(s) and reports the same to FIU-IND.

14. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

All Applicants (including POAs and Guardians) are required to be KYC compliant irrespective of the amount of investment. In case you are not KYC certified, please fill in the KYC form (individual or Non-Individual). A KYC acknowledgement letter should be submitted along with application for opening a folio or making an investment. Each holder in the folio must be KYC compliant.

Investors may kindly note that new SEBI Circular issued regarding uniformity in the KYC process was effective from January 1, 2012.

1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries, new Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on our website www.taurusmutualfund.com.

2. The Mutual Fund shall perform the initial KYC of its new investors and shall also accept the details change form for investors who have done their KYC prior to 31st Dec 11.

3. It is mandatory to carry out In-Person Verification (IPV) for processing the KYC of its new / existing investors from January 1, 2012.

4. Once the KYC and IPV-In Person Verification has been done with any SEBI registered intermediary, the investor need not undergo the same process again with any another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC/additional KYC of the investor.

5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice.

6. Non-individual investors will have to do a fresh KYC due to significant changes in KYC requirements.

7. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/Sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

Subsequently, SEBI, vide its circular no. MIRSD/Cir-5/2012 dated April 13, 2012 advised various intermediaries to upload KYC data of its existing customers into the KRA system. While uploading KYC data into the KRA system, intermediaries were also required to highlight such 'Missing/Not Available' KYC information of a customer, which was either not required or not taken previously, but was mandatory as per uniform KYC guidelines issued by SEBI.

8. In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

In accordance with AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015, investors may note the following:

It is mandatory for all new/existing investors to provide additional KYC information such as Income details, Occupation, association with politically exposed person, net worth etc. as mentioned in the application form. Subscription requests, without providing these details, are liable to be rejected. No subscriptions (whether fresh or additional) and switches pertaining to 'KYC on-hold' cases are accepted, unless the investor / unitholder also submits relevant KYC missing / updated information, which is appropriately updated on the KRA - KYC.

Further, it is mandatory for existing customers to complete In-Person Verification process and provide the missing KYC information failing which their applications / transaction requests for additional subscription (including switches) is liable to be rejected.

15 OPERATIONALISATION OF CENTRAL KYC RECORDS REGISTRY (CKYCR)

Central Registry of Securitisation and Asset Reconstruction and Security interest of India ('CERSAI') has been authorised by Government of India to act as Central KYC Records Registry under Prevention of Money-Laundering (Maintenance of Records) Rules, 2005 ('PMLA Rules').

SEBI vide its circular ref. no. CIR/MIRSD/66/2016 dated July 21, 2016 and circular ref. no. CIR/MIRSD/120/2016 dated November 10, 2016 has prescribed that the Mutual Fund/ AMC should capture KYC information for sharing with CKYCR as per the KYC template prescribed by CERSAI for uniform and smooth implementation of CKYC norms for onboarding of new investors in mutual funds.

In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017:

a) Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund/ AMC.

b) Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or fill CKYC Form.

c) Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC identifier ('KIN') will be generated for such customer.

d) New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.

e) AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.

f) If the PAN of investor is not updated on CKYCR system, the investor should submit self-certified copy of PAN card to the Mutual Fund/ AMC.

The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of Axis Mutual Fund and on website www.taurusmutual.com.

The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).

Instruction For Filling up Zero Balance Folio Form

16. Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

FATCA is an acronym for Foreign Account Tax Compliance Act (FATCA), a United States Federal law to increase compliance by US tax payers and is intended to bolster efforts to prevent tax evasion by the US tax payers with off shore investments. The Government of India and the United States of America (US) have reached an agreement in substance on the terms of an Inter-Governmental Agreement (IGA) and India is now treated as having an IGA in effect from April 11, 2014. The AMC/Mutual Fund are likely to be classified as a Foreign Financial Institution (FFI) (Investment Entity as per Annexure 1 (i) under the FATCA provisions).

In accordance with the regulatory requirements related to FATCA/CRS read along with SEBI Circular no. CIR/MIRSD/2/2015 dated August 26, 2015 and AMFI's Best Practices Guidelines Circular no. 63/2015-16 dated September 18, 2015 regarding uniform implementation of FATCA/ CRS requirements, w.e.f. January 01, 2016 all

investors will have to mandatorily provide the details and declaration pertaining to FATCA/ CRS for all new/ existing accounts opened, failing which the AMC shall reject the application.

17. Ultimate Beneficial Ownership (UBO)

In line with the Prevention of Money Laundering Act 2005, (PMLA) and SEBI Circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and AMFI's Best Practices Guidelines Circular no. 62/2015-16 dated September 18, 2015, investors may note w.e.f. January 01, 2016 it shall be mandatory for existing investors/ unitholders to provide Ultimate Beneficial Ownership details failing which the AMC shall reject the transaction for additional subscription (including switches)

Taurus Mutual Fund reserves the right to reject application / restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in UBO, investors are required to immediately update the details with Taurus Mutual Fund / Computer Age Management Services (Registrar).

Checklist

Please ensure that your Application Form is complete in all respects and signed by all applicants:

- Name, Address and Contact Details are mentioned in full.
- Status of First/Sole Applicant is correctly indicated.
- Bank Account Details are entered completely and correctly.
- Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated)
- Please attach proof of KYC Compliance status
- Appropriate Plan / Option is selected. If units are applied by more than one applicant, Mode of Operation of account is indicated.

Your investment Cheque / DD should be drawn in favour of 'Scheme Name - Permanent Account Number' or 'Applicant's Name' or 'Folio Number' and crossed A/c Payee only. Application Number is to be mentioned on the reverse of the Cheque/DD. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

Document	Individual	Companies	Trusts	Societies	Partnership Firms	FIIS	NRI	Investments through Constituted Attorney
1. Resolution / Authorisation to invest		✓	✓	✓	✓	✓		
2. List of Authorised Signatories with Specimen Signature (s)		✓	✓	✓	✓	✓		✓
3. Memorandum & Articles of Association		✓						
4. Trust Deed			✓					
5. Bye-Laws				✓				
6. Partnership Deed					✓			
7. Notarised Power of Attorney								✓
8. Payment mode by DD/Third Party Cheque - Declaration to be attached as applicable	✓	✓	✓	✓	✓	✓	✓	✓
9. Photo copy of PAN Proof	✓	✓	✓	✓	✓	✓	✓	✓
10. KYC Acknowledgement Letter	✓	✓	✓	✓	✓	✓	✓	✓
11. UBO		✓	✓	✓	✓	✓	✓	✓
12. FATCA	✓	✓	✓	✓	✓	✓	✓	✓

All document in 1 to 6 above should be originals or true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.